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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing) Application Number 09/437,812 Filing Date November 10, 1999 First Named Inventor Mark E. Pennell Group Art Unit 2152 Examiner Name Quang N. Nguyen Technology Co. Application Number 09/437,812 Filing Date November 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 09/437,812 Filing Date November 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999 First Named Inventor Quang N. Nguyen Technology Co. Application Number 10, 1999

Technology Center 2100 Total Number of Pages in This Submission 3.3 Attorney Docket Number 50642.00002 ENCLOSURES (check all that apply) Assignment and Recordation Cover Request to Correct Filing Receipt Fee Transmittal Form (in duplicate) Sheet (for an Application) Appeal Communication to Board of Amendment / Response Drawing(s) 6 Sheets Appeals and Interferences ☐ With RCE Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Return Postcard Request for Continued Examination Affidavits/declaration(s) Status Letter Power of Attorney Extension of Time Request Other Enclosure(s) (please identify below): (in duplicate) Terminal Disclaimer Reference(s) Request for Refund IDS and Form 1449 CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Declaration/Oath SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Date I	December 16, 2002		0				
		CERTIF	ICATE O	F MAILING			=
I hereby certify that this	correspondence is bein	g deposited	with the Ur	nited States Pos	tal Service a	s first class mail in an envelope	е
addressed to: Assistan	t Commissioner for Pate	ents, Washin	gton, D.C.	20231 on this d	ate: Dece	ember 16, 2002	
Typed or printed name	Daryl C. Josephsor	١ /	7				
Signature	Dark	e 1	Lh		Date	December 16, 2002	

Daryl C. Josephson, Reg. No. 37,365

Squire, Sanders & Dempsey, L.L.P.

600 Hansen Way

Palo Alto, CA 94304-1043

Firm

Individual name

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EE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

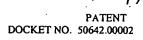
TOTAL AMOUNT OF PAYMENT

	Complete if Known		1
Application Number	09/437,812	RECE	
Filing Date	November 10, 1999	. 1202	IVED
First Named Inventor	Mark E. Pennell	DFC 2	7 2002
Examiner Name	Quang N. Nguyen		. 2002
Group / Art Unit	2152	Technology	Center 2100
Attorney Docket No.	50642.00002		701101 2100

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)				
		3. AD	DITIONA	L FEES				
Check Credit card Money Other None Order		Large	Entity	Small	Entity			
		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Account	05-0150		1051	130	2051	65	Surcharge - late filing fee or oath	
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			1053	130	1053	130	Non-English specification	
Account Squire, Sanders & Dempsey, L.L. P.		1812	2,520	1812	2,520	For filing a request for reexamination		
Name The Commissioner is authorized to: (check all that apply)			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
⊠ Charge fee(s) indicated below			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s) i	ndicated below, except for the		1251	110	2251	55	Extension for reply within first month	
to the above-ident	ified deposit account. FEE CALCULATION		1252	400	2252	200	Extension for reply within second month	200
1 BASIC FIL			1253	920	2253	460	Extension for reply within third month	
Large Entity	Small Entity		1254	1,440	2254	720	Extension for reply within fourth month	
	ee Fee <u>Fee Descripti</u> ode (\$)	on Fee Paid	1255	1,960	2255	980	Extension for reply within fifth month	
(.,	001 370 Utility filing fee		1401	320	2401	160	Notice of Appeal	
	002 165 Design filing fe		1402	320	2402	160	Filing a brief in support of an appeal	
	003 255 Plant filing fee		1403	280	2403	140	Request for oral hearing	
1004 740 2	004 370 Reissue filing	<u> </u>	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Provisional filli	ng tee	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0		1453	1,280	2453	640	Petition to revive – unintentional		
			1501	1,280	2501	640	Utility issue fee (or reissue)	
2. EXTRA CLAIM			1502	460	2502	230	Design issue fee	
	Extra Claims	Fee from Fee below Paid	1503	620	2503	310	Plant issue fee	
Total Claims 83	-83 ** = 0 X	= 0	1460	130	1460	130	Petitions to the Commissioner	<u> </u>
Independent			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims 13	-13 ** = 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent Large Entity	X Small Entity	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee Fee Fee Desc	ription	1809	740	2809	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	1 1	excess of 20	1810	740	2810	370	For each additional invention to be	
1201 84	2201 42 Independe	ent claims in excess of 3					examined (37 CFR § 1.129(b))	
1203 280	2203 140 Multiple de	ependent claim, if not paid	1801	740	2801	370	Request for Continued Examination (RCE)	
1204 84	2204 42 ** Reissue original pa	independent claims over tent	1802	900	1802	900	Request for expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent			1	of a design application				
SUBTOTAL (2) (\$) 0				ee (speci	·y/	-	<u></u>	
			*Redu	ced by Ba	asic Filin	Fee Pa	aid SUBTOTAL (3) (\$) 20	0
**or number prev	**or number previously paid, if greater; For Reissues, see above							

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Daryl C. Josephson	Registration No. Attorney/Agent)	37,365	Telephone	(650) 843-6500
Signature	Dayl C	Ser		Date	December 16, 2002

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Date: December 16, 2002

Examiner:

Art Unit:

Quang N. Nguyen

In Re Application of:

Serial No:

Mark E. Pennell, et al.

09/437,812

Filed: November 10, 1999

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Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

<u>LETTER TO OFFICIAL DRAFTSPERSON</u> (Request To Make Proposed Drawing Changes)

Sir:

Subject to the approval of the Primary Examiner in the above-entitled patent application, please replace the previously submitted six (6) sheets of informal drawings containing Figures 1, 2, 3, 4, 5 and 6 with the enclosed six (6) sheets of informal drawings, containing Figures 1, 2, 3, 4, 5 and 6.

REMARKS

Applicants respectfully submit that the requested drawing amendments are consistent with the corresponding material in the specification and does not add any new matter to the application.

In re Pennell, et al.
U.S. Patent Appln. No.: 09/437,812

PATENT DOCKET NO. 50642.00002

Should the Examiner have any questions concerning this request, the Examiner is invited to call the undersigned representative at the number shown below.

If for any reason an insufficient fee has been paid, the Commissioner is hereby authorized to charge the insufficiency to Deposit Account No. <u>05-0150</u>.

Date: Decemeber 16, 2002

Squire, Sanders & Dempsey L.L.P.

600 Hansen Way

Palo Alto, CA 94304-1043 Telephone: (650) 856-6500 Facsimile: (650) 843-8777 Respectfully submitted,

Daryl C. Josephson

Attorney for Applicants Registration No.: 37,365